

## Next Generation Sequencing: Unusual Cases of Spastic Paraplegic Presenting with Ataxia

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### Abstract

Hereditary Spastic Paraplegias (HSPs) are a group of heritable conditions resulting from neuro-degeneration of the cortico-spinal tracts. It can exist as “pure form” characterized by advanced spasticity, weakness of hind limbs, bladder dysfunctioning and mild somatosensory deficits, or as “complex form” where other neurological and systemic abnormalities are present.

The extensive clinical and genetic heterogeneity of spastic paraplegia and clinical overlap with various ataxias makes clinical diagnosis challenging. In this study, 70 unusual ataxia cases were enrolled. Molecular diagnosis identified and excluded 18 positive cases of Spino Cerebellar Ataxia (SCA) subtypes and 1 positive case of Friedreich Ataxia (FRDA). Furthermore, from remaining uncharacterized 51 ataxia cases, we carried out Clinical Exome Sequencing (CES) in 10 individuals who depicted early age of onset with severe ataxia. CES identified 3 male cases with variants in SPG7, SPG11 and FA2H genes implicated in spastic paraplegia. Variants identified are: a heterozygous pathogenic variant c.2014G-A (p. Gly 672Arg) SPG7 gene (in case 1), a homozygous pathogenic variants c.869+1 G-T(5'Splice site), in SPG11 gene (case 2) and a heterozygous pathogenic c.1A-G (p.met1?) in FA2H gene (case 3). Thus CES facilitated in getting a definitive diagnosis in 3 out of 10 unusual HSP cases presenting with ataxia.

**Keywords:** Ataxia; Spastic paraplegia; Next generation sequencing; Sanger sequencing; Clinical exome sequencing

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### Introduction

Hereditary Ataxia (HA) is a collection of genetic anomalies categorized by slow progression of the movement incoordination and is often associated with reduced incoordination of hands, speech, and eye movements [1]. Also cerebellar degeneration is frequently observed in these disorders. Molecularly, many HA are caused by repeat expansion mutations as in case of Friedreich Ataxia (FRDA, OMIM 229300) and in different subtypes of Spino Cerebellar Ataxia (SCA) [1].

FRDA is an autosomal recessive neurodegenerative disorder characterized by progressive gait disturbances; limb ataxia associated with the weakness of lower limb and fore limb muscles and also absence of lower limb reflexes, dysarthria, and reduced vibratory senses and proprioception [2]. The commonest molecular abnormality, associated with FRDA is a Trinucleotide repeat (GAA) expansion in the intron 1 of *FXN1* gene. Another

type of HA is Spino Cerebellar Ataxia (SCA, OMIM 164400) which is a rare, genetically heterogeneous, neurodegenerative disorder with multiple subtypes. A majority of SCA subtypes are caused by dominant CAG expansion mutations (in coding and/ or non-coding region), while others are caused by truncating, missense and nonsense mutations [3]. HA are also caused due to other single gene disorders such as Episodic Ataxias (EA) and Ataxia Telangiectasia (AT).

Hereditary Spastic Paraplegias (HSPs) are a group of heritable conditions resulting from neuro-degeneration of the cortico-spinal tracts and is referred as “pure form” when the signs and symptoms are limited to advanced spasticity, weakness of the hind limbs, dysfunctioning of the bladder and somatosensory deficits in mild form. While in “complex form”, neurologic impairment and other system involvement are also present apart from those present in pure form. Till date, seventy different HSP loci, and about sixty causative genes have been identified [4]. The

mode of inheritance of HSP ranges from autosomal dominant (AD)/autosomal recessive (AR)/X-linked to mitochondrial [4].

Patients who display complex form of HSP may present with cerebellar ataxia along with spastic paraplegia phenotype and thus often represents diagnostic challenge, as the differential diagnosis is very broad. Therefore implementing Clinical Exome Sequencing (CES) is highly justified in order to reach to a diagnostic conclusion in such cases [5]. In this study, we have recruited clinical ataxia suspected cases for CES leading to identification and molecular classification of three unrelated, unusual spastic paraplegia cases among them.

## Methods

### Sample size

Initially we have recruited 70 clinical suspects of HA (age range 16 to 70 years) of Indian origin. SCA subtypes that are common in India viz. SCA subtypes 1, 2, 3, 6 and 7 and FRDA are ruled out by molecular testing. After confirming diagnosis of FRDA and SCA positive cases, we selected 10 cases from the remaining uncharacterized cases and subjected them to CES analysis (covering 6,000 genes related to neurological disorders).

### Inclusion and exclusion criteria for CES testing are as follows:

#### Inclusion Criteria:

- Patients presenting severe ataxia related features.
- Patients presenting an early age of onset of ataxic like features.

#### Exclusion Criteria:

Patients positive for Spino Cerebellar Ataxia (SCA) and Freidreich Ataxia (FRDA).

### Sample collection and DNA isolation:

Two millilitres of peripheral blood were collected in EDTA vial. Genomic DNA was isolated by Qiagen DNA extraction kit according to the manufacturer's instructions (Qiagen, Valencia, CA). The quality and quantity of DNA were assured by agarose gel electrophoresis and nanodrop 2000 (Thermo Scientific, USA), respectively.

### Molecular analysis

**PCR based analysis:** We excluded triplet expansion mutation in the genes causing SCA type 1, 2, 3, 6 and 7 (ATXN1, ATXN2, ATXN3, CACNA1A, ATXN7) utilizing Multiplex PCR (M-PCR) and FRDA (*FXN1*) by using TP-PCR as per the method of Dorschnerm, et al. [6] and Bhowmik et al. [7], respectively.

**Clinical Exome Sequencing:** Targeted capture and sequencing of the coding region's protein of the genome/genes were performed. Genomic DNA was used to achieve the targeted gene capture by expending a custom capture kit. The libraries were sequenced to mean >80-100 X coverage on Illumina sequencing platform. The sequences obtained were aligned to human reference genome (GRCh37/hg19). Clinically relevant

mutations were annotated using published variants in literature and a set of diseases databases - ClinVar, OMIM (updated on 21st November 2018), GWAS, HGMD (v2018.3) and SwissVar [8-12]. Common variants were filtered based on allele frequency in 1000 Genome Phase 3, ExAC (v1.0), gnomAD (v2.1), EVS, dbSNP (v151) in one thousand Japanese Genome and in our internal Indian population databases [13-17]. Non-synonymous variants effect is calculated using multiple algorithms such as PolyPhen-2, SIFT (Scale-invariant Feature Transform, MutationTaster2 and LRT. Only non-synonymous and splice site variants found in the clinical exome panel consisting of 8332 genes were used for clinical interpretation. Silent variations that do not result in any change in amino acid in the coding region were not reported.

**Sanger Sequencing:** Sanger sequencing was performed for confirmation and validation of the variants obtained by CES analysis.

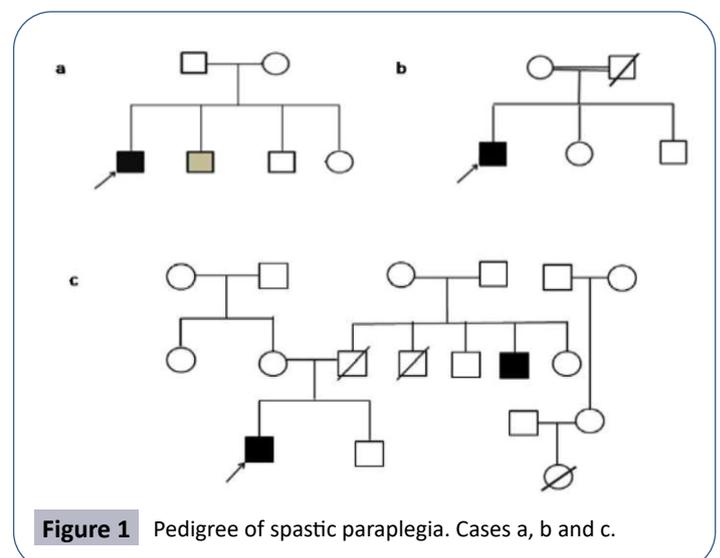
## Results

Among the 10 patients enrolled for CES, we found mutations in 3 out of 10 patients, in 3 different genes implicated in spastic paraplegia. Following the identification of mutations in spastic paraplegia genes, we re-evaluated these cases to see if their phenotypes fit into the underlying disorder. The details are described below.

### Case 1

AK was a 24-year-old male, born to non-consanguineous parents of Indian origin who hailed from Uttar Pradesh, after an abnormal pregnancy of forty weeks with normal birth dimensions. Proband's height was 160.02 centimeters with 65 kg weight (**Case 1**). Both parents were healthy (**Figure 1**). But the younger brother presented mild symptoms with walking problem due to lower limb weakness. The proband's sister complained mild knee pain. Family pedigree of patient is shown in (**Figure 1b**).

Proband reported walking difficulty, whole body balancing difficulty and spasticity in the lower limbs at 16 years of age as his first symptoms and later the symptoms get aggravated like the pain in the waist region, problems related to sitting and



**Figure 1** Pedigree of spastic paraplegia. Cases a, b and c.

walking. The patient also noticed gastric problem. MRI suggested the classic pattern of spastic paraplegia with the occurrence of bilaterally hyper intense signals in the basal ganglia, thalami and of the Periventricular white matter. MRI also showed the diffuse disc bulge with broad based postero-central protrusion at LS-S1 level producing mild to moderate extradural compression over ventral aspect of thecal sac and causing mild narrowing of lateral recesses and neural foramina of both side.

Diffuse disc bulge is noted at L2-3, L3-4 and L4-5 levels producing mild extradural compression over ventral aspect of thecal sac and causing mild narrowing of bilateral neural foramina. Early MRI finding suggested a spondo-discal degenerative change in lumbar spine as described above. In this patient, the Clinical exome sequencing identified the heterozygous missense variation in the exon number 15 of SPG7 gene (chr16:g.2014G>A) that results in substitution of the amino acid glycine to arginine at codon number 672 (p.Gly672Arg; ENST00000268704.2) (**Figure 3a**).

### Case 2

MR was a 24-year-old male born to consanguineous parents of the Indian origin hailing from Uttar Pradesh, after a normal pregnancy with normal birth measurements. Proband's height is 162.5 centimeters and with 70 kg weighing (**Case 2**). Both parents and siblings (one brother and one sister) are healthy. Problem in writing, walking and whole body movement and stiffness in lower limbs appeared at 15 years of age as the first symptoms of the disease after this the symptoms progressed gradually like the pain in waist region and problem in sitting and walking. Family pedigree is shown in (**Figure 1b**).

The MRI finding observed T2 flair hypersensitivity and degeneration in corona radiate and periventricular white matter, the typical pattern of spastic paraplegia (**Figure 2**). No metabolic problem so far detected in patient.

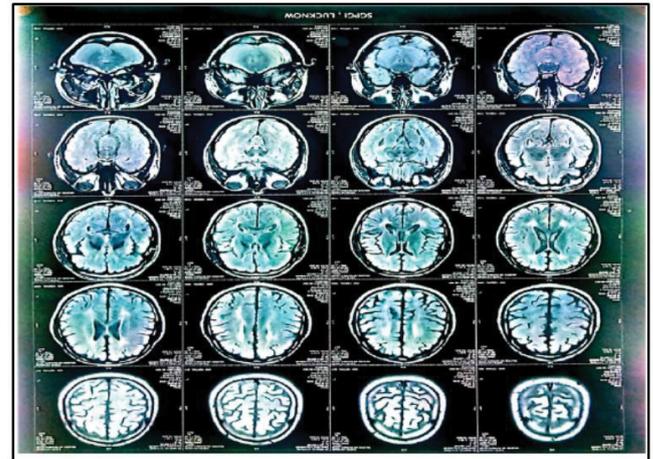
In this patient, the clinical exome sequencing identified a homozygous 5' splice site variation in intron 4 of the SPG11 gene (chr15:g.44949292C>A; Depth: 18 X) that affects the invariant GT donor splice site of exon 4 (c.869+1G>T; ENST00000261866.7) (**Figure 3b and Case 2**).

### Case 3

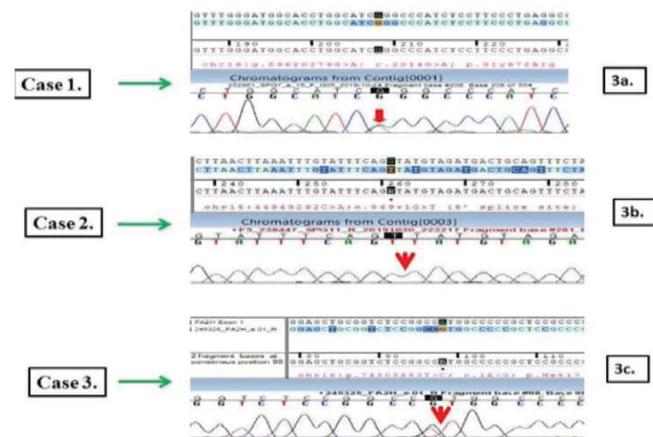
PS was 19 year-old male born to non- consanguineous parents of Indian origin and hailing from Bihar. The pregnancy was normal with normal birth measurements. Proband's height was 160.02 centimeters and with 65 kg weight (**Figure 4 and Case 3**), both parents were healthy.

The clinical features presented by proband were scanning speech, behavioral changes since last 6 years, irritation, anger, irrelevant talking, sense of isolation, aggressive behavior, gait ataxia, and falling suddenly while walking and weakness in lower limbs. Problem in walking and whole body balancing was appeared at 10 years of age as the first symptoms of the disease after this the symptoms got worsened (**Figure 1c**). The MRI report indicated (not shown here) the distinctive patterns of the spastic paraplegia with the occurrence of thin corpus callosum.

In this patient CES detected a start-loss variation in exon 1 of



**Figure 2** Magnetic Resonance Imaging (MRI) of case 2.



**Figure 3** Sanger validation results of mutation identified by clinical exome sequencing.



**Figure 4** Patients with spastic paraplegia.

the FA2H gene (chr16:g.c.1A>G; Depth: 3x) that altered the ATG start codon and consequently affected its translation (p.Met1?; ENST00000219368.3) (**Figure 3c**).

For all the three variants identified by CES validation of the result was carried out by Sanger sequencing and is shown in (Figure 3a-3c) respectively.

## Discussion

The brain is the most important part of the Central Nervous System (CNS), and about 84% of the genes are expressed in the brain region [18]. A little alteration in expression of the genes in brain could lead to severe concern and is implicated in numbers of neurological problems inclusive of HA and HSPs. HSP or cortico-spinal motor neuron disease is a heterogeneous group of degenerative disorders characterized by progressive weakness and spasticity of the lower limbs, combined with additional neurological features.

The extensive clinical and genetic heterogeneity with over 80 potential disease-associated genes and frequent overlap with other clinical conditions affecting the motor system makes molecular diagnosis in HSP cumbersome and time consuming. Thus in many HSP cases the diagnosis remained unconfirmed in spite of a huge number of independent molecular diagnostic tests series after the clinical diagnosis. Population based studies have depicted that some HSP implicating mutations are quite common in several populations, while some are very rare ones in other populations [19], thus requiring panel based testing. Confirmatory molecular diagnosis is very important for the ultimate diagnosis in the affected individuals, for giving the surety and avoiding the needless molecular diagnostic

Clinical exome sequencing approaches are increasingly being used for genetic diagnostics in routine clinical settings, and different published papers report successful use of this technology in HSP [20-23], with positive yields ranging from 20% in adult cases to 52.5% in child cohorts [23-27]. In this study, we have been able to identify genetic mutations related to HSP in 3/10 (~44%) unexplained ataxia patients by CES. The first patient (Case 1) confirmed for HSP had a heterozygous missense variation in the exon number 15 of SPG7 gene (chr16:g.89620279G >A) that resulted in substitution of the amino acid glycine at codon number 672 to arginine (p.gly 672Arg; ENST00000268704.2). The p.Gly 672 Arg variant has not been reported in the 1000 genomes and has a minor allelic frequency of 0.0008% in ExAC Database.

The variation identified in Case 1 had previously been reported, but in compound heterozygous state along with other missense mutation (c.1529C >T) in a patient affected with adult onset upper motor neuron syndrome in Dutch patients [28]. Spastic paraplegia-7 is caused by mutation in SPG7 gene. The SPG7 gene delivers the instructions for making a paraplegin protein, a member of the AAA protein family [29]. AAA protein family plays an important role in the several cellular activities, such as regulation of the cellular components and the proteins.

These proteins is located within the inner membrane of the mitochondria., As paraplegin is highly expressed in Purkinje neurons which are involved in movement coordination, thus it explains the manifestation of ataxic feature in Case 1 with SPG 7 mutation [30]. Mutations in SPG7 are responsible for 1.5–4.5% of autosomal recessive HSP cases with both, pure and complicated

phenotypes [31]. Thus it has been suggested that SPG7 should be analyzed when autosomal recessive form of adult onset complex HSP is suspected [32]. Warnecke T et al. [33] had previously reported a missense mutation c.2075G>C in exon 15 of the SPG7 gene in the homozygous state. However Dominant effect of SPG7 has also been identified in some significant studies and challenged this concept. In our study we have identified a heterozygous mutation in exon 15 of SPG7 gene upon querying 6000 genes movement disorder related CES panel, hence suggesting a dominant inheritance with variable penetrance pattern for this gene [34].

In second patient (Case 2), A homozygous 5' splice site variation in intron 4 of the SPG11 gene (chr15:g.44949292C >A; Depth: 18 X) that affects the invariant GT donor splice site of exon 4 (c.869+1G >T; ENST00000261866.7) was detected. The observed variation had been previously reported (as 869+1G >A) in patients affected with spastic paraplegia [35]. For this variant the in silico prediction is the damaging causing by the MutationTaster2 software. The reference base is also conserved across mammals in the databases. Gene SPG11 codes 8-kb mRNA consisting of 40 exons and the protein expresses in adult cerebellum, cerebral cortex, hippocampus and the pineal gland region of the brain [36]. Protein encoded by SPG11 gene is the Spatacsin consists of 2443 amino acids with indefinite functions. It has been suggested that Spatacsin play a vital biological role due to its high conservation among species [37]. Kara et al., 2016 reported the mutations affecting the SPG11 gene as the main reason of the autosomal recessive HSP responsible for approximately twenty five % of the cases [38].

The third patient (Case 3), was identified to have a heterozygous start-loss variation in exon 1 of the FA2H gene (chr16:g.74808653T>C; Depth: 3 X) that alters the ATG start codon and consequently affects its translation (p.Met1?; ENST00000219368.3).The p.Met1? Variant has not been reported in the 1000 genomes, ExAC and databases. This variant is predicted to be damage causing by the software SIFT and the MutationTaster2. The reference codon is conserved across mammals. FA2H gene is located on chromosome 16q23. FA2H gene encodes for the endoplasmic reticulum enzyme fatty acid 2-hydroxylase (FA2H) that plays significant role in the formation of 2-hydroxy glycol sphingolipids (major myelin component). FA2H homozygous mutations is implicated in neurodegeneration with iron accumulation in brain (fatty acid hydroxylase-associated neurodegeneration, FAHN), HSP type SPG35 and leukodystrophy with spasticity and dystonia [39,40], but not much about the functional impact of heterozygous FA2H mutations is known. Recently, it has been reported that rare deleterious heterozygous mutations of FA2H might constitute risk factors for Autism Spectrum Disorder (ASD) [41]. Other studies suggest **FA2H** heterozygous mutations as minor risk factors for ASD.

Clinical investigation in Case 3 depicted behavioral impairment and social aversion apart from ataxia and movement impairment. We may speculate that some other additional mutations in genes related to autism or intellectual disability might be present. Alternatively, as the effect of mutation on FA2H is not known but predicted deleterious with various in silico tools, thus it may

be directly involved in ASD phenotype as well. Our study for the first time showed movement related phenotype (presence of ataxia symptoms) along with mild ASD like features related to heterozygous FA2H mutation.

The extensive clinical and genetic heterogeneity of spastic paraplegia and the clinical overlap between various ataxia cases suggests that it is difficult to arrive at a rapid and precise diagnosis of these conditions and requires definitive diagnosis. The Past studies for classification of HSP cases have been done by accomplishment of the CES or the WES (whole-exome sequencing) [42-44]. CES results generally offer precious information which can be used for the clinical resolution making.

In our study we studied 3 patients with a pure form of unexplained ataxia by CES and found 3 patients positive for mutations in genes implicated in HSP (SPG7, FA2H and SPG11). Among them 2 were found to have heterozygous mutation in SP7 and FA2H genes,

whose recessive mutations were known to be linked with spastic paraplegia respectively. Third patient had a homozygous variant in SPG11 gene and is in concordance with previous reports. As these patients were initially clinically characterized as ataxia suspects thus it is evident that the clinical continuum [45-46] of the hereditary spastic paraplegia's variants are extended to the pure cerebellar ataxias also.

## Conclusion

In the era of medical genomics and precision medicine, which has brought the first randomized clinical trials in HSP and a deeper approach in modern neuro rehabilitation, high levels of genetic heterogeneity should no longer prolong the time to diagnosis and preclude access to new treatment and care opportunities. We consider that the Clinical Exome Sequencing will be a worthwhile tool for the diagnosis of known Mendelian genetic diseases such as HSP and HA.

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